

# **Freedom of Information Policy**

Ownership: Governing Body

**Date of Issue:** September 2022

**Review Date:** September 2025

**Headteacher:** Debbie Miller

Signature:

Date: September 2022

**Chair of Governors:** Judith Sparks

Signature:

**Date:** September 2022

# **Agreement Review**

This agreement will be reviewed in full by the Governing Body no less than every three year.

This agreement was last reviewed in September 2022 and was ratified by the Governing Body at the Full Governing Body meeting on \_\_\_\_\_\_\_\_

The next planned review is in September 2025.

#### 1. Introduction

- 1.1 The Freedom of Information Act (FOIA) 2000, which came fully into force on 1st January 2005, provides public access to information held by public authorities, which includes maintained schools and academies. It does this in two ways:
  - Under Section 19 of the Act, public authorities are obliged to publish certain information about their activities, in the form of an approved publication scheme.
  - Members of the public are entitled to request information from public authorities, subject to certain exemptions, to be supplied within twenty working days.
- 1.2 Any request for "environmental information" is required to be answered in accordance with the Environmental Information Regulations (EIR) 2004 rather than the FOIA.
- 1.3 Further information on these acts can be found here:
  - Freedom of Information
  - Environmental Information Regulations
- 1.4 The FOIA does not apply to personal data as this is covered by the Data Protection Act (DPA) 2018. Access to personal data should be made via a Subject Access Request and not a Freedom of Information Request.

#### 2. Policy statement and objectives

- 2.1 Wheatcroft School is committed to the principles of accountability, transparency and the general right of access to information, subject to legal exemptions. We will make every effort to meet our obligations under the respective legislation, and this policy outlines how we manage our obligations for complying with the FOIA.
- 2.2 We have produced a publication scheme which sets out:
  - the classes of information which we publish or intend to publish;
  - the manner in which information is to be published, for example, online or in hard copy; and
  - whether there is any charge for the information.
- 2.3 The publication scheme conforms to the <u>model scheme</u> for schools and academies published by the Information Commissioner's Office (ICO) in October 2021.
- 2.4 The scheme covers information already published and information which is to be published in the future. All information in our publication scheme is either published on our website or available on request as hard copy or electronically.
- 2.5 The ICO would expect us to make the information in the publication scheme available unless:
  - we do not hold the information;
  - the information is exempt under one of the FOI exemptions or EIR exceptions, or its release is prohibited under another statute;
  - the information is readily and publicly available from an external website;
  - the information is archived, out of date or otherwise inaccessible; or
  - it would be impractical or resource-intensive to prepare the material for routine release.

#### 3. Making a request for information under the publication scheme

3.1 If a requester needs a hard copy of any of the documents within the scheme, they should contact the school in writing, giving clear details of the information requested. In order to help us process the request more quickly, we recommend clearly marking any correspondence "PUBLICATION SCHEME REQUEST". Contact details are set out below as well as on our website at www.wheatcroft.herts.sch.uk

Email: admin@wheatcroft.herts.sch.uk

Address: Wheatcroft Primary School, Stanstead Road, Hertford, Herts, SG13 7HQ

3.2 Information published on our website is free. However if the request means that we have to do a lot of photocopying or printing, or pay a large postage charge, or is for a priced item such as some printed publications or videos, then we will inform the requester of the cost before fulfilling the request.

## 4. Making a request for information under the Freedom of Information Act

- 4.1 If the required information is not available via our publication scheme, then a Freedom of Information (FOI) request is required. This must be in writing and must contain the requestor's name and contact details (either postal address or email address) as well as sufficient details to enable us to identify and locate the required information.
- 4.2 We are entitled to ask for more detail, if needed, to enable us to identify and locate the information sought. We will contact the requester as soon as possible and, as far as reasonably practicable, provide assistance to them to enable them to describe more clearly the information requested. Such assistance might include:
  - providing an outline of the different kinds of information which might meet the terms of the request;
  - providing access to detailed catalogues and indexes, where these are available, to help the applicant ascertain the nature and extent of the information held by us; or
  - providing a general response to the request setting out options for further information which could be provided on request.
- 4.3 All FOI requests must be responded to by us within 20 school days or 60 working days (whichever is shorter) from receipt of a valid request. However, where further clarification has been asked for, the time for compliance will not begin until we have received the necessary information to allow us to answer the request.
- 4.4 Provided all requirements are met for a valid request to be made, we will provide the information that we hold (unless an exemption applies). If the information is held by another public authority, such as the Local Authority, we may transfer the request to them. We will continue to answer any parts of the enquiry in respect of information that we do hold.
- 4.5 Where we do not hold the information, we are not required to create or acquire it in order to answer the enquiry, although we must carry out a reasonable search to determine if this is the case.
- A fee can be charged for providing information for an FOI request, which takes account of the staff costs and time for locating and retrieving the information, and whether this exceeds the threshold for complying with the request. The threshold is currently £450 with staff costs calculated at a fixed rate of £25 per hour (therefore 18 hours' work is required before the threshold is reached).
- 4.7 If a request would cost more than the threshold, then we are entitled to turn the request down, to answer and charge a fee, or answer and waive the fee.
- 4.8 If a request would cost less than the threshold, then we can only charge for the cost of informing the requester whether the information is held, and communicating the information to the requester (e.g. photocopying, printing and postage costs).
- 4.9 Where a fee is applicable under the legislation, we will advise the requester as promptly as possible. If they indicate that they are not prepared to pay the fee, we will consider whether there is any information that may be of interest to them that is available free of charge.

#### 5. Exemptions

- 5.1 The presumption of the FOIA is that we should disclose information unless the Act provides a specific reason to withhold it. However, the Act also recognises the need to preserve confidentiality and protect sensitive material under certain circumstances.
- 5.2 We may refuse all or part of a request, if one of the following applies:
  - there is an exemption to disclosure within the act;
  - the information sought is not held;
  - the request is considered vexatious or repeated; or
  - the cost of compliance exceeds the threshold.
- 5.3 A series of exemptions are set out in the Act which allow the withholding of information in relation to a request. Some are very specialised in their application and would not usually be relevant to us. There are two general categories of exemptions:-
  - 5.3.1 Absolute: where there is no requirement to confirm or deny that the information is held, disclose the information or consider the public interest. Applicable exemptions are:
    - information accessible to the requester by other means (for example by way of our publication scheme);
    - national security/court records;
    - personal information; or
    - information provided in confidence.
  - 5.3.2 Qualified: where, even if an exemption applies, there is a duty to consider the public interest test by considering if the public interest in applying the exemption outweighs the public interest in disclosing it. Applicable exemptions are:
    - information requested is intended for future publication;
    - reasons of national security;
    - government/international relations;
    - release of the information is likely to prejudice any actual or potential legal action or formal investigation;
    - law enforcement (i.e. if disclosure would prejudice the prevention or detection of crime, the prosecution of offenders or the administration of justice);
    - release of the information would prejudice our ability to carry out an effective audit of our accounts, resources and functions;
    - for health and safety purposes;
    - information requested is subject to legal professional privilege; or
    - for "commercial interest" reasons:

### 6. Refusing to respond to a request

- 6.1 If it is decided to refuse a request, we will send a refusals notice, which must contain:
  - the fact that we cannot provide the information asked for;
  - which exemptions apply (where applicable);
  - why the exemptions apply to this enquiry (if it is not self-evident);
  - reasons for refusal; and
  - details of how to complain;
- 6.2 We will maintain a register of requests where we have refused to supply information, and the reasons for the refusal.

# 7. Complaints

- 7.1 We provide a right of complaint to all requesters in the event they are dissatisfied with the handling of their request. Any such complaints should be directed to our DPO at <a href="mailto:dpo@wheatcroft.herts.sch.uk">dpo@wheatcroft.herts.sch.uk</a> who will make an independent assessment of the case.
- 7.2 If the requester remains dissatisfied then they can contact the ICO either via their helpline number 0303 123 1113 or via their <u>website</u>.

## 8. Policy Review

8.1 This policy is reviewed when we are advised of significant updates from our partners at Herts for Learning with reference to the relevant legislation or guidance in effect at the time and is part of our annual policy review schedule.

# 9. Appendix

This schedule is based on the <u>template guide</u> published by the ICO in **October 2021** and is completed in conjunction with the <u>definition document</u>, also published in October 2021.

# Information available from Wheatcroft School under the model publication scheme

# www.wheatcroft.herts.sch.uk

Information to be published	How the information can be obtained	Cost
Class 1 - Who we are and what we do	On our woheite	
Information about us; our structures, locations and contacts	On our <u>website</u>	
Contact details for the school/academy, postal and email address	On our <u>website</u>	
Head teacher's contact details	On our website under 'statutory information'	
Who's who in the school	On our website under 'classes' by each phase	
Who's who on the governing body / board of governors and selection criteria for appointment Governing body's contact details	On our website under 'governors'	
Instrument of Government / Articles of Association	On our website under 'governors'	
School/academy prospectus	Information which would form such a prospectus is on our website	
School/academy session times and term dates	On our website under 'term dates'	
Class 2 – What we spend and how we spend it  Financial information about projected and actual income and expenditure, procurement, contracts and financial audit	On request from our Finance Team	
Annual budget plan and financial statements	On request from our Finance Team	
Capital funding	On request from our Finance Team	
Financial audit reports	On request from our Finance Team	
Details of expenditure items over [£2000 (smaller schools)/£5000 (larger schools)]  published at least annually, where practical, at a more frequent quarterly or six-monthly interval – no but over £5K approved by FGB	On request from our Finance Team	
Staff pay – details of senior staff salaries in bands of £5,000  for all other posts, identify levels of pay by salary range NO over £100,000 is DfE	On request from our Finance Team – do we want this in?	

Information to be published	How the information can be obtained	Cost
Staff allowances and expenses that can be incurred or claimed, with totals paid to individual senior staff members	On request from our Finance Team – do we want this in?	
Governors' allowances that can be incurred or claimed, and a record of total payments made to individual governors	On request from our Finance Team – do we want this in?	
Procurement and contracts we have entered into		
Details of any premiums we receive such as Pupil premium	On our website under 'statutory information'	
Class 3 – What our priorities are and how we are doing	On our website under	
Strategies and plans, performance indicators, audits, inspections and reviews, e.g. Ofsted report, performance data supplied to the government	<u>'statutory information'</u>	
Annual Report (School Development Plan)	On our website under <a href="fstatutory information">fstatutory information</a> Does it get put on there?	
Latest reports from Ofsted  - Summary  - Full report  - Post-inspection action plan	On our website under <u>'statutory information'</u>	
Exam and assessment results	On our <u>website</u>	
Performance tables	On our website under <u>'statutory information'</u>	
The school's/academy's future plans; e.g. proposals for and any consultation on the future of the school/academy, such as a change in status	Not currently relevant	
School profile and performance data supplied to the Government	On our website under 'statutory information'	
Data Protection Impact Assessments (in full or summary format) or any other impact assessment (e.g. Health & Safety Impact Assessment, Equality Impact Assessments etc.), as appropriate and relevant		
Class 4 – How we make decisions  Decision making processes and records of decisions  current and previous three years as a minimum ?????	I don't know what this is either-can DM help? The official guide also unclear!	
Admissions policy and, where applicable, admission decisions e.g. application numbers/patterns of successful applicants, including criteria on which applications were successful – Admissions statement this is completed by Local Authority not school and published by them	Via the Local Authority's Admissions Statement Have we a link?	
Agendas and minutes of meetings of the governing body and its committees, unless an exemption applies to the information or parts of it	On request from the School Office	

Information to be published	How the information can be obtained	Cost
Class 5 – Our policies and procedures		
Current written protocols, policies and procedures for delivering our services and responsibilities		
School policies and other documents, such as behaviour policy, anti- bullying policy, e-Safety, values and ethos etc.	On our website under <u>'statutory information'</u>	
Safeguarding and child protection, including protecting children's personal data	On our website under <u>'statutory information'</u>	
Equality and Diversity	On our website under <u>'statutory information'</u>	
Policies and procedures relating to recruitment and human resources	On request from the School Office	
Special educational needs	On our website under 'statutory information'	
Complaints policies and procedures	On our website under 'statutory information'	
Pay Policy	On request from the School Office	
Records management	On request from the	
information security policies, records retention, destruction and archive policies	On request from the School Office	
Data protection	GDPR Policy on our website and CCTV Policy available on request from the School Office	
Charging regimes and policies	??? End of this policy? On request from Office?	
Class 6 – Lists and Registers	Do we want this	
Currently maintained lists and registers only (this does not include the attendance register)	available? Would assume no?	
Curriculum circulars and statutory instruments	On our <u>website</u>	
CCTV  Details of the locations of any overt CCTV surveillance cameras operated by us or on our behalf	On request from the School Office	
Disclosure logs, i.e. information provided in response to FOIA/EIR requests	DPO keeps this-not sure we should publish	
Asset register and Information Asset register	On request from the School Office	
Any information we are currently legally required to hold in publicly available registers	On request from the School Office	

Information to be published	How the information can be obtained	Cost
Class 7 – The services we offer		
Information about the services we offer, including leaflets, guidance and newsletters produced for the public and businesses		
Extra-curricular activities	On request from the School Office	
Out of school/academy clubs	On request from the School Office	
Services for which we are entitled to recover a fee, together with those fees	<mark>???</mark>	
Requests for paper copies of information	<mark>???</mark>	
Our publications, leaflets, books and newsletters	On request from the School Office	
Additional Information  Any information that is not itemised in the lists above	On request from the School Office as appropriate	

# Schedule of charges

TYPE OF CHARGE	DESCRIPTION	BASIS OF CHARGE
Disbursement cost	Photocopying @ 2p per sheet (black & white) 2p	Actual cost incurred
	Photocopying @ 5p per sheet (colour) 5p	Actual cost incurred
	Postage	Actual cost of Royal Mail standard 2 <sup>nd</sup> class
Statutory Fee		In accordance with the relevant legislation (quote the actual statute)
Other		

# **Document Control**

Date modified	Description of modification	Modified by	
September	Policy adapted from HFL model	GM/CC	